



9-22-00

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Please type a plus sign (+) inside this box → PTO/SB/05 (4/98)
Approved for use through 09/30/2000. OMB 0651-0032

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. CONFO.49060

First Inventor or Application Identifier John A. Macoviak

Title See 1 in Addendum

Express Mail Label No. EL631725709US

TO

JC618 U.S. PTO

09/20/00

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Specification [Total Pages 46]
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. Drawing(s) (35 U.S.C. 113) [Total Sheets 11]

4. Oath or Declaration [Total Pages 3]

- a. Newly executed (original or copy)
- b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
- i. DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5. Microfiche Computer Program (Appendix)

6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. Computer Readable Copy
- b. Paper Copy (identical to computer copy)
- c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))

8. 37 C.F.R. § 3.73(b) Statement Power of
(when there is an assignee) Attorney

9. English Translation Document (if applicable)

10. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS
Citations

11. Preliminary Amendment

12. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

* Small Entity Statement(s) Statement filed in prior application
(PTO/SB/09-12) Status still proper and desired

13. Certified Copy of Priority Document(s)
(if foreign priority is claimed)

14. Other:
.....
.....

15. Other:
.....
.....

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation Divisional Continuation-in-part (CIP) of prior application No: /

Prior application information: Examiner _____

Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label / or Correspondence address below
(Insert Customer No. or Attach bar code label here)

Name	Vern Schooley, Esq.		
Address	Fulwider Patton Lee & Utecht, LLP		
City	Long Beach	State	Ca.
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Telephone	562-432-0453	Fax	562-435-6014

Name (Print/Type)	Vern Schooley, Esq.	Registration No. (Attorney/Agent)	24,649
Signature	<i>Vern Schooley, Esq.</i>	Date	09/22/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Attachment to PTO/SB/05 (4/98) Utility Patent Application
Transmittal

1. HEART VALVE ANNULUS DEVICE AND METHOD OF USING SAME

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FEE TRANSMITTAL

for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$762.00)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	John A. Macoviak
Examiner Name	
Group / Art Unit	
Attorney Docket No.	CONFO.49060

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 21-0800

Deposit Account Name

 Charge Any Additional Fee Required
Under 37 CFR §§ 1.16 and 1.17

2. Payment Enclosed:

 Check Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	0.00
127	50	Surcharge - late provisional filing fee or cover sheet.	0.00
139	130	Non-English specification	0.00
147	2,520	For filing a request for reexamination	0.00
112	920*	Requesting publication of SIR prior to Examiner action	0.00
113	1,840*	Requesting publication of SIR after Examiner action	0.00
115	110	Extension for reply within first month	0.00
116	380	Extension for reply within second month	0.00
117	870	Extension for reply within third month	0.00
118	1,360	Extension for reply within fourth month	0.00
128	1,850	Extension for reply within fifth month	0.00
119	300	Notice of Appeal	0.00
120	300	Filing a brief in support of an appeal	0.00
121	260	Request for oral hearing	0.00
138	1,510	Petition to institute a public use proceeding	0.00
140	110	Petition to revive - unavoidable	0.00
141	1,210	Petition to revive - unintentional	0.00
142	1,210	Utility issue fee (or reissue)	0.00
143	430	Design issue fee	0.00
144	580	Plant issue fee	0.00
122	130	Petitions to the Commissioner	0.00
123	50	Petitions related to provisional applications	0.00
126	240	Submission of Information Disclosure Stmt	0.00
581	40	Recording each patent assignment per property (times number of properties)	0.00
146	690	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00
149	690	For each additional invention to be examined (37 CFR § 1.129(b))	0.00
Other fee (specify)			0.00
Other fee (specify)			0.00
Reduced by Basic Filing Fee Paid		SUBTOTAL (3) (\$)	0.00

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	690	Utility filing fee	345.00
106	310	Design filing fee	
107	480	Plant filing fee	
108	690	Reissue filing fee	
114	150	Provisional filing fee	

SUBTOTAL (1) (\$345.00)

2. EXTRA CLAIM FEES

Extra Claims	Fee from below	Fee Paid
Total Claims 49	-20** = 29	X 9 = 261
Independent Claims 7	- 3* = 4	X 39 = 156
Multiple Dependent		= 0

**or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	Claims in excess of 20
102	78	Independent claims in excess of 3
104	260	Multiple dependent claim, if not paid
109	78	** Reissue independent claims over original patent
110	18	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$417.00)

SUBMITTED BY

Name (Print/Type)	Vern Schooley, Esq.	Registration No. (Attorney/Agent)	24,649	Telephone	432-0453
Signature	<i>Vern Schooley</i>			Date	9/24/00

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.